

Please type a plus sign (+) inside this box

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

PCPC10720B

First Inventor

Bruce A. Hay

Title

SOMATOSTATIN ANTAGONISTS AND AGONISTS
THAT ACT AT THE SST SUBTYPE 2 RECEPTOR

Express Mail Label No.

EL625565115US

(Only for new nonapplications under 37C.F.R. §1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status
See 37 CFR 1.273. ☒ Specification [Total Pages 50]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total sheets 0]5. ☒ Oath or Declaration [Total pages 3]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or
computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submit
(if applicable, all necessary)

- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies)
 - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 11. ☐ English Translation Document (if applicable)
- 12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
- 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37CFR 1.76.☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/734,789Prior application information: Examiner Andrea D. Small Group/Art Unit: 1626For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is
considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be
relied upon when a portion has been inadvertently omitted from the submitted application parts**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

23913

Name	Paul H. Ginsburg				
Address	Pfizer Inc., 235 East 42 nd Street				
City	New York,	State	New York	Zip Code	10017-5755
Country	United States	Telephone	212-573-2369	Fax	212-573-1939

NAME (Print/type)	E. Victor Donahue	Registration No. (Attorney/Agent)	35,492
Signature		Date	November 16, 2001

Under the Paperwork reduction Act of 1995, no persons are required to respond

FEE TRANSMITTAL For FY 2001

Patent Fees are subject to annual Revision

Total Amount of Payment (\$)**1064**

METHOD OF PAYMENT

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

16-1445

Deposit
Account
Name

Pfizer Inc



Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status

See 37 CFR 1.27

Payment Enclosed:

check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

USIC FILING FEE

Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
740	201	Utility filing fee	740
330	206	Design filing fee	
510	207	Plant filing fee	
740	208	Reissue filing fee	
160	214	filing fee	
SUBTOTAL (1) (\$)			740

TRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Claims			
38	-20**= 18 X	18	= 324
Independent Claims	1 - 3**= 0 X	0	= 0
Multiple Dependent		0	= 0
Large Entity	Small Entity	Fee Description	
Fee Code	Fee Code		
103	18	203 9	Claims in excess of 20
102	84	202 42	Independent claims in excess of 3
104	280	204 140	Multiple dependent claim, if not paid
109	80	209 42	**Reissue independent claims over original patent
110	18	210 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)			324

** or number previously paid, if greater, For Reissues, see above

Complete if Known

Application Number Express Mail Label No. EL625565115US
Filing Date November 16, 2001
First Named Inventor Bruce A. Hay
Examiner Name Andrea D. Small (anticipated)
Group/Art Unit 1626 (anticipated)
Attorney Docket No. PC10720B

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late fee or oath	
127	50	227	25	Surcharge-late filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination(RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other (specify)

*Reduced by Basic Filing Fee Paid

Subtotal (3) 0

SUBMITTED BY

Complete (if applicable)

Type or Printed Name E. Victor Donahue Registration No 35,492 Telephone 212-733-2739
Signature *EVD* Date November 16, 2001

Express Mail Label EL625565115US
filed November 16, 2001
attorney docket number: PC10720B

Certificate of Express Mailing under 37 CFR 1.10

The undersigned hereby certifies that the listed documents attached hereto were deposited on November 16, 2001 with the United States Postal Service as Express Mail, Post Office to Addressee, postage prepaid, in an envelope bearing Express Mail Label EL625565115US addressed to the Commissioner for Patents, Box New Application, Washington, DC 20231.

Utility Application Transmittal (in duplicate)

Fee Transmittal Sheet (in duplicate)

Patent Application as: Specification (42 pgs); Claims (7 pg); Abstract (1 pg) .

Preliminary Amendment

Inventor Declaration (copy of executed declaration from immediate parent application, 09/734,789)

Information Disclosure Statement and 2 Sheets of Form A820/1449. Copies of references not provided- see file of parent application.

Return Receipt Postcard.

Date: November 16, 2001



Evelyn Sabatino